DT07 Rec'd PCT/PT0 0\_4

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTTORNEY'S DOCKET NUMBER ON/4-32557A

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP03/07198 04 July 2003 (04.07.03) 05 July 2002 (0.5.07.02) TITLE OF INVENTION INHIBITORS OF TYROSINE KINASES APPLICANT(S) FOR DO/EO/US **BREITENSTEIN ET AL** Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. **X** A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). has been previously submitted under 35 U.S.C. 154(d)(4), Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. 🔲 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. 14. 🗶 An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. E A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. L A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Unsigned Declaration and Power of Attorney

his collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FILING BY "EXPRESS MAIL" **UNDER 37 CFR 1.10** Express Mail Label Number

## JT12 Rec'd POT/PTO 0 4 JAN 2005

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Approved for use through 3/31/2007. OMB 0651-0021

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U.S. APPLICATION NO. (if known, see 37 CFB 1.5) INTERNATIONAL APPLICATION NO.  PCT/EP03/07198							ATTORNEY'S DOCKET NUMBER	
							ON/4-32557A	
21. The following fees are submitted:								
X a) Basic national fee\$300.00							\$ 300.00	
X b) Examination fee\$200.00						\$ 200.00		
<b>X</b> c) Search fee\$500.00							\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00							\$ 1,000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra sheets	sheets Number of each additional 50 or fr thereof (round up to a whole num				RATÉ		
- 100 =	/50 =	0				× \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							\$ 0.00	
CLAIMS	CLAIMS NUMBER FILED			NUMBER EXTRA RATE			\$	
Total claims		4 - 20 =		0	×	\$50.00	\$ 0.00	
Independent claims		2 - 3 = 0		×	\$200.00	\$ 0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						\$360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =							\$ 1,000.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							\$	
SUBTOTAL =						\$ 1,000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$		
TOTAL NATIONAL FEE =						\$ 1,000.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$	
TOTAL FEES ENCLOSED =							\$ 1,000.00	
						•	Amount to be refunded:	\$
							Amount to be charged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 19-0134 in the amount of \$ 1,000.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 19-0134 . A duplicate copy of this sheet is enclosed.								
d. Fees a	re to be charged to a creduded on this form. Prov	lit card. WA	ARNI	ING: Information on this	form m		olic. Credit card informa	tion should not
	n appropriate time limit restore the Internationa				et, a pe	tition to revive	(37 CFR 1.137(a) or (b)	) must be filed
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Novartis					Lgd M Nall SIGNATURE			
Corporate Intellectual Property					_L	Lydia T. McNally		
One Health Plaza, Bldg. 104								
East Hanover, NJ 07936-1080 36,214							ON NUMBER	